



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/082,135

02/26/2002

Hideaki Tsushima

62807-043

CONFIRMATION NO. 5388

FORMALITIES LETTER

OC000000007685943*

MCDERMOTT, WILL & EMERY 600 13th Street, N.W. Washington, DC 20005-3096

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Date Mailed: 03/21/2002

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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$588.
 - \$588 for 7 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1458.

05/23/2002 SDENBOB1 00000067 500417 10082135

01 FC:101 02 FC:105 03 FC:102

740.00 CH 130.00 CH

588.00 CH

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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Docket No.: 62807-043

11114

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideaki TSUSHIMA, et al.

Serial No.: 10/082,135

Group Art Unit: 2874

Filed: February 26, 2002

Examiner:

For: OPTICAL SWITCHING EQUIPMENT, OPTICAL TRANSPORT NETWORK, AND

METHODS OF USING THEM

RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION

Box Missing Parts Commissioner for Patents Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated March 21, 2002, submitted herewith are the following documents for filing in the above-referenced application:

- 1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
- 2. Statutory Basic filing fee of \$740.00
- 3. Late Filing Fee Surcharge of \$130.00
- 4. Additional Claims fee of \$588.00
- 5. Assignment
- 6. Certified Priority Document
- 7. Supplemental Information Disclosure Statement and PTO-1449 Form

Please charge Deposit Account No. 500417 in the amount of \$1,458.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

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Date: May 21, 2002